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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 942039
First Named Inventor Minh-Tan Ton-That

COMPLETE IF KNOWN

Application Number 10/715,438
Filing Date 11/19/2003
Art Unit 1774
Examiner Name

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

POLYMERIC NANOCOMPOSITES

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 11/19/2003 as United States Application Number or PCT International

Application Number 10/715,438 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☒ Customer Number **33798** OR ☐ Correspondence address below

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Address

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Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR : ☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle [if any])
Minh-TanFamily Name
or Surname
Ton-ThatInventor's
Signature
Date
2004-03-08

Montreal

Quebec

Canada

Canadian

Residence: City

State

Country

Citizenship

2315 Cartier St.

Mailing Address

Montreal

Quebec

H2K 4E9

Canada

City

State

ZIP

Country

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle [if any])
Lechoslaw AdamFamily Name
or Surname
UtrackiInventor's
Signature
Date
2004.03.09

Pierrefonds

Quebec

Canada

Canadian

Residence: City

State

Country

Citizenship

15326 Boischatel

Mailing Address

Pierrefonds

Quebec

H9H 1Y6

Canada

City

State

ZIP

Country

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



PTO/SB/02A (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

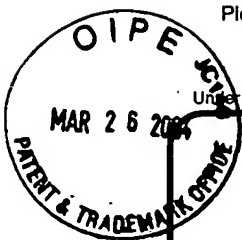
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DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Kenneth		Cole	
Given Name		Family Name or Surname	
Inventor's Signature <i>Kenneth C. Cole</i>		Date <i>2004-03-09</i>	
St-Hubert	Quebec	Canada	Canadian
Residence: City	State	Country	Citizenship
5897 terrace Boisvert			
Mailing Address <i>terrasse (KC)</i>			
Mailing Address			
St-Hubert	Quebec	J3Y 6E1	Canada
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Johanne		Denault	
Given Name		Family Name or Surname	
Inventor's Signature <i>J Denault</i>		Date <i>9/03/04</i>	
Longueuil	Quebec	Canada	Canadian
Residence: City	State	Country	Citizenship
933 des Mesanges			
Mailing Address			
Mailing Address			
Longueuil	Quebec	J4G 2B1	Canada
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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PTO/SB/81 (02-01)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	
Filing Date	
First Named Inventor	Minh-Tan Ton-That
Title	Polymeric Nanocomposites
Group Art Unit	
Examiner Name	
Attorney Docket Number	942039

I hereby appoint:

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I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Minh-Tan Ton-That
Signature	<i>Minh</i>
Date	2003-11-17

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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SIGNATURE of Applicant or Assignee of Record

Name

Lechoslaw Adam Utracki

Signature

Date

2003. 11. 21

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Examiner Name	
Attorney Docket Number	942039

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SIGNATURE of Applicant or Assignee of Record

Name

Kenneth Cole

Signature

Kenneth C. Cole

Date

Nov. 17, 2003

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SIGNATURE of Applicant or Assignee of Record

Name

Johanne Denault

Signature

J. Denault

Date

18.11.03

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SIGNATURE of Applicant or Assignee of Record

Name

National Research Council of Canada

Signature

Pat Mortimer-Secretary General

Date

18 Nov 03

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Minh-Tan Ton-That, Lechoslaw Adam Utracki, Kenneth Cole, Johanne DenaultApplication No./Patent No.: 10/715,438 Filed/Issue Date: 11/19/2003Entitled: POLYMERIC NANOCOMPOSITESNATIONAL RESEARCH COUNCIL OF CANADA Canadian Government Agency

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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2. From: _____ To: _____

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3. From: _____ To: _____

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[] Additional documents in the chain of title are listed on a supplemental sheet.

[X] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

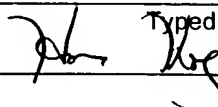
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 24, 2004

Date

HANS KOENIG, Reg. No. 46,474


Typed or printed name



Signature

Patent Agent

Title

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Application Number	
Filing Date	
First Named Inventor	Minh-Tan Ton-That
Title	Polymeric Nanocomposites
Group Art Unit	
Examiner Name	
Attorney Docket Number	942039

I hereby appoint:

☒ Practitioners at Customer Number

33798

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Name	Registration Number

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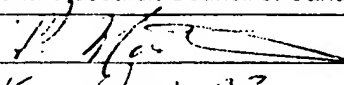
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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
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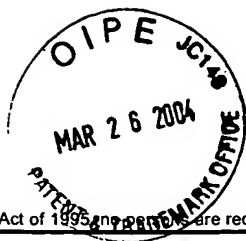
SIGNATURE of Applicant or Assignee of Record

Name	National Research Council of Canada
Signature	 Pat Mortimer-Secretary General
Date	15 Nov 03

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner Minh-Tan Ton-That, Lechoslaw Adam Utracki, Kenneth Cole, Johanne DenaultApplication No./Patent No.: 10/715,438 Filed/Issue Date: 11/19/2003Entitled: POLYMERIC NANOCOMPOSITESNATIONAL RESEARCH COUNCIL OF CANADA Canadian Government Agency

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

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- B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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Typed or printed name


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Patent Agent

Title